

Amended Fee Schedule in the *Corporations (Fees) Regulations 2001*

Schedule 1 - Fees

The amendments will be made to the fees schedules in the Regulations. Below is the marked up version of the amended table.

Column 1 Item	Column 2 Matter	Column 3 Fee
<i>Occupational licensing</i>		
1	On application under section 913A for an Australian financial services licence (if sections 1433 and 1434 do not apply), using the ASIC eLicensing service or in any other form: (a) by a body corporate, partnership or non-corporate trustee (b) by a natural person Note:— See also items 45 and 47. subregulations 3(3) and (4) do not apply to these fees on 1 July 2012	\$1 485 \$825
1A	On application under paragraph 914A(2)(b) for imposition, variation or revocation of conditions on an Australian financial services licence (if regulation 10.2.37 of the <i>Corporations Regulations 2001</i> does not apply): (a) using the ASIC eLicensing service (b) in any other form Note:— See also item 46.	\$230 \$270
1D	On lodging an application under subsection 915A(1) for variation of an Australian financial services licence to take account of a change in the licensee's name	no fee
3	On application under Part 9.2 for registration as an auditor: (a) if the application is lodged electronically (b) if the application is not lodged electronically	\$150 \$330
3A	On lodging a statement under section 1287A: (a) if the statement is lodged electronically (b) if the statement is not lodged electronically	\$65 \$135
4A	On application for exemption from the requirement to hold an Australian financial services licence under paragraph 911A(2)(1): (a) by a body corporate, partnership or non-corporate trustee (b) by a natural person	\$270 \$150
1	On lodging an application under section 913A for an Australian financial services licence if the application is made using electronic means: (a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA: (i) low complexity	\$2 233

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>(ii) high complexity</u>	<u>\$5 025</u>
	<u>(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$3 721</u>
	<u>(ii) high complexity</u>	<u>\$7 537</u>
	<u>(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$1 488</u>
	<u>(ii) high complexity</u>	<u>\$3 350</u>
	<u>(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$2 233</u>
	<u>(ii) high complexity</u>	<u>\$5 025</u>
<u>1A</u>	<u>On lodging an application under section 913A for an Australian financial services licence if the application is made without using electronic means:</u>	
	<u>(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$3 349</u>
	<u>(ii) high complexity</u>	<u>\$7 537</u>
	<u>(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$5 582</u>
	<u>(ii) high complexity</u>	<u>\$11 305</u>
	<u>(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$2 233</u>
	<u>(ii) high complexity</u>	<u>\$5 025</u>
	<u>(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$3 349</u>
	<u>(ii) high complexity</u>	<u>\$7 537</u>
<u>1B</u>	<u>On application under paragraph 911A(2)(l) for exemption from the requirement to hold an Australian financial services licence:</u>	
	<u>(a) by a body corporate, partnership or non-corporate trustee</u>	<u>\$323</u>
	<u>(b) by a natural person</u>	<u>\$179</u>
<u>2</u>	<u>On application under paragraph 914A(2)(b) for imposition, variation or revocation of conditions on an Australian financial services licence if the application is made using electronic means:</u>	
	<u>(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$1 364</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>(ii) high complexity</u>	<u>\$3 071</u>
	<u>(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$2 233</u>
	<u>(ii) high complexity</u>	<u>\$3 210</u>
	<u>(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$930</u>
	<u>(ii) high complexity</u>	<u>\$3 489</u>
	<u>(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$1 364</u>
	<u>(ii) high complexity</u>	<u>\$3 071</u>
<u>2A</u>	<u>On application under paragraph 914A(2)(b) for imposition, variation or revocation of conditions on an Australian financial services licence if the application is made without using electronic means:</u>	
	<u>(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$2 047</u>
	<u>(ii) high complexity</u>	<u>\$4 606</u>
	<u>(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:</u>	
	<u>(i) low complexity</u>	<u>\$3 349</u>
	<u>(ii) high complexity</u>	<u>\$4 815</u>
	<u>(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$1 426</u>
	<u>(ii) high complexity</u>	<u>\$5 234</u>
	<u>(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:</u>	
	<u>(i) low complexity</u>	<u>\$2 047</u>
	<u>(ii) high complexity</u>	<u>\$4 606</u>
<u>2B</u>	<u>On lodging an application under subsection 915A(1) for variation of an Australian financial services licence to take account of a change in the licensee's name</u>	<u>no fee</u>
<u>2C</u>	<u>On application under paragraph 915B(1)(e), (2)(d), (3)(d) or (4)(d) to suspend or cancel an Australian financial services licence</u>	<u>\$899</u>
<u>2D</u>	<u>On application for ASIC to revoke a suspension of an Australian financial services licence mentioned in section 915E</u>	<u>\$899</u>
<u>3</u>	<u>On application under Part 9.2 for registration as an auditor</u>	<u>\$338</u>
<u>3A</u>	<u>On lodging a statement under section 1287A</u>	<u>no fee</u>
<u>3B</u>	<u>On application under paragraph 1289A(3)(b) for imposition,</u>	<u>\$1 028</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>variation or revocation of conditions on registration as an auditor</u>	
4B	On lodging an application under section 20-5 of Schedule 2 to the Act for registration as a liquidator	\$2 200
4C	For the registration by ASIC of a person as a liquidator under section 20-30 of Schedule 2 to the Act	\$1 300
<u>4CA</u>	<u>On application under section 20-40 of Schedule 2 to the Act for variation or removal of the conditions on registration as a liquidator</u>	<u>\$1 018</u>
4D	On lodging an application under section 20-70 of Schedule 2 to the Act for the renewal of registration as a liquidator:	
	(a) if the application is lodged more than 1 month before the renewal date	\$1 700 <u>\$1 736</u>
	(b) if the application is lodged within 1 month before the renewal date	\$1 920 <u>\$1 961</u>
<u>4E</u>	<u>On application under paragraph 40-25(1)(f) or 40-30(1)(f) of Schedule 2 to the Act to suspend or cancel registration as a liquidator</u>	<u>\$234</u>
<u>4F</u>	<u>On application under subsection 40-70(2) of Schedule 2 to the Act for a suspension to be lifted or for the period of a suspension to be shortened</u>	<u>\$1 028</u>
<u>4G</u>	<u>On lodging an application for ASIC to agree to and approve a Deed of Subordination for the purposes of a financial services licensee meeting financial resource requirements imposed as a condition of the licence</u>	<u>\$1 798</u>
<u>4H</u>	<u>On lodging an application for ASIC to agree to and approve a Deed of Mutual Release from a Deed of Subordination that was entered into for the purposes of a financial services licensee meeting financial resource requirements imposed as a condition of the licence</u>	<u>\$1 798</u>
<i>Incorporation and registration of companies and other bodies</i>		
5	On application for:	
	(a) registration of a company under section 118:	
	(i) if the company has a share capital	\$400 <u>\$479</u>
	(ii) if the company does not have a share capital	\$330 <u>\$395</u>
	(b) registration of a company under section 601BD:	
	(i) if, at the time of lodging the application, the body corporate was registered under Division 2 of Part 5B.2	\$330 <u>\$395</u>
	(ii) in any other case	\$400 <u>\$479</u>
	(c) transfer of the registration of a company under section 119A	\$400 <u>\$479</u>
6	On lodging the documents under Part 5B.2 for the registration of:	
	(a) a registrable Australian corporation:	
	(i) if, in its place of origin, it is incorporated or registered under a law dealing with the incorporation of associations	\$330 <u>\$395</u>
	(ii) if, in its place of origin, it is incorporated or registered	\$400 <u>\$479</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	under a law except a law dealing with the incorporation of associations	
	(b) a foreign company	\$400 \$479
6A	On application under section 601EA for registration of a managed investment scheme:	\$2 010 \$3 029
Choice of review date		
7	On application under subsection 345B(1) or (2) for approval of a choice of a review date by a company or the responsible entity of a registered managed investment scheme to which item 7A does not apply	\$33 \$39
7A	On application under subsection 345B(1) or (2) for approval of a choice of a review date, by 1 or more companies having the same ultimate holding company, director or company secretary, or the responsible entity of 1 or more registered managed investment schemes:	
	(a) for an application relating to fewer than 10 companies or registered managed investment schemes	\$33 \$39 for each company or scheme
	(b) for an application relating to at least 10 companies or registered managed investment schemes	\$330 \$390
Annual returns and accounts		
8	On lodging an annual return or balance sheet and profit and loss account of a registered foreign company under section 601CK	\$1 000 \$1 194
9	On lodging a profit and loss statement and balance sheet under subsection 989B(2):	
	(a) by a body corporate, partnership or non-corporate trustee	\$549
	(b) by a natural person	\$225
	subregulations 3(3) and (4) do not apply to these fees on 1 July 2012	
9	On lodging a profit and loss statement and balance sheet under subsection 989B(2)	no fee
9A	On lodging a report under section 319 by a disclosing entity, except a company or registered scheme	\$1 000 \$1 194
9B	On lodging a report under section 320	no fee
Fund raising		
10	On lodging, under section 718, a disclosure document that is:	
	(a) a prospectus <u>(including a short form prospectus)</u>	\$2 010 \$3 206
	(b) a profile statement	\$2 010 \$ 1924
	(c) an offer information statement	\$2 010 \$ 1924
10A	On lodging, under section 719, a supplementary or replacement document	no fee
10A	On lodging, under section 719, a supplementary or replacement document that is:	
	(a) a prospectus (including a short form prospectus)	\$802
	(b) a profile statement	\$802

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>(c) an offer information statement</u>	<u>\$321</u>
<u>10B</u>	<u>On lodging, under subsection 712(4), document referred to in a short form prospectus</u>	<u>\$321</u>
<i>Charges</i>		
<i>Takeovers</i>		
<u>13</u>	<u>On lodging a notice under section 263 or 264</u>	<u>\$135</u>
<u>14</u>	<u>On lodging a notice under section 268 or a memorandum under subsection 269(2)</u>	<u>\$65</u>
<u>13A</u>	<u>On lodging with ASIC a copy of a target's statement (and any accompanying report) mentioned in item 13 of the table in subsection 633(1) in relation to an off-market bid</u>	<u>\$2 565</u>
<u>13B</u>	<u>On lodging with ASIC a copy of a target's statement (and any other document that was sent with the target's statement to the relevant market operator) mentioned in item 12 of the table in subsection 635(1) in relation to a market bid</u>	<u>\$2 565</u>
<u>13C</u>	<u>On lodging an application for ASIC to give its view on whether an acquisition or proposed acquisition is covered by item 7 of the table in section 611</u>	<u>\$2 565</u>
<u>13D</u>	<u>On lodging with ASIC a notice mentioned in subsection 630(5)</u>	<u>\$160</u>
<i>Market licensees</i>		
<u>15</u>	<u>On giving an annual report to ASIC under subsection 792F(1)</u>	<u>\$330no fee</u>
<u>16</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 793D(1)</u>	<u>\$135</u>
<u>17</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 793D(3)</u>	<u>\$135</u>
<u>17A</u>	<u>On lodging an application, under subsection 795A(1), for an Australian market licence</u>	<u>\$1 340</u>
<u>16</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 793D(1) that is:</u>	
	<u>(a) low complexity</u>	<u>\$2 580</u>
	<u>(b) high complexity</u>	<u>\$38 651</u>
<u>17</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 793D(3):</u>	
	<u>(a) low complexity</u>	<u>\$2 580</u>
	<u>(b) high complexity</u>	<u>\$38 651</u>
<u>17A</u>	<u>On lodging an application, under subsection 795A(1), for an Australian market licence that is:</u>	
	<u>(a) of low complexity</u>	<u>\$15 462</u>
	<u>(b) of medium complexity</u>	<u>\$85 888</u>
	<u>(c) of high complexity</u>	<u>\$154 596</u>
<u>17B</u>	<u>On lodging an application, under subsection 796A(2), for:</u>	<u>\$670\$38 651</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	(a) the imposition of conditions on an Australian market licence; or (b) the imposition of additional conditions on an Australian market licence; or (c) the variation of conditions imposed on an Australian market licence; or (d) the revocation of conditions imposed on an Australian market licence	
17C	On lodging an application, under subsection 797A(1), for the variation of an Australian market licence to take account of a change in the licensee's name	\$230 <u>\$1 549</u>
<u>17CAA</u>	<u>On application, under paragraph 797B(c), for the Minister to suspend or cancel an Australian market licence</u>	<u>\$12 886</u>
<u>17CAB</u>	<u>On application for an exemption or declaration under section 798D</u>	<u>\$17 180</u>
<u>17CAC</u>	<u>On application for the Minister to vary or revoke the conditions on an exemption, under subsection 820C(2), an exemption from all or specified provisions of Part 7.3</u>	<u>\$17 179</u>
17CA	On giving an annual report to ASIC under subsection 821E(1)	\$330
17D	On lodging written notice of changes to operating rules with ASIC under subsection 822D(1)	\$135
17E	On lodging written notice of changes to operating rules with ASIC under subsection 822D(3)	\$135
17F	On lodging an application, under subsection 824A(1), for an Australian CS facility licence	\$1 340
<u>17D</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 822D(1):</u> <u>(a) low complexity</u> <u>(b) high complexity</u>	<u>\$2 580</u> <u>\$38 651</u>
<u>17E</u>	<u>On lodging written notice of changes to operating rules with ASIC under subsection 822D(3):</u> <u>(a) low complexity</u> <u>(b) high complexity</u>	<u>\$2 580</u> <u>\$38 651</u>
<u>17F</u>	<u>On lodging an application, under subsection 824A(1), for an Australian CS facility licence:</u> <u>(a) low complexity</u> <u>(b) medium complexity</u> <u>(c) high complexity</u>	<u>\$15 462</u> <u>\$85 888</u> <u>\$154 596</u>
17G	On lodging an application, under subsection 825A(2), for: (a) the imposition of conditions on an Australian CS facility licence; or (b) the imposition of additional conditions on an Australian CS facility licence; or (c) the variation of conditions imposed on an Australian CS facility licence; or (d) the revocation of conditions imposed on an Australian CS facility licence	\$670 <u>\$38 651</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
17H	On lodging an application, under subsection 826A(1), for the variation of an Australian CS facility licence to take account of a change in the licensee's name	\$230 <u>\$1 549</u>
<u>17HA</u>	<u>On application, under paragraph 826B(c), for the Minister to suspend or cancel an Australian CS facility licence</u>	<u>\$12 886</u>
17I	On lodging an application, under subsection 851A(1), for approval to have voting power of more than 15%	\$670 <u>\$158 350</u>
17J	On lodging an application, under subsection 851C(2), to extend the period of an approval to have voting power of more than 15%	\$670
17K	On lodging an application, under paragraph 851D(3)(b), for the imposition, revocation or variation of conditions on an approval to have voting power of more than 15%	\$670
17L	On lodging an application, under subsection 851E(1), to vary a percentage specified in an approval to have voting power of more than 15%	\$670
17M	On lodging an application, under subsection 851F(3), for the revocation of an approval to have voting power of more than 15%	\$670
17N	On giving the Minister or ASIC information in accordance with regulations made for paragraph 854A(1)(c)	\$230
17O	On lodging an application, under subsection 882B(1), for compensation arrangements	\$670 <u>\$15 462</u>
17P	On lodging written notice, under subsection 884B(2), of a change to a matter required to be dealt with by the compensation rules	\$135 <u>\$38 651</u>
17Q	On lodging an application, under subsection 884C(1), for approval of a change to a matter that is not required to be dealt with by the compensation rules	\$330 <u>\$38 651</u>
17R	On lodging written notice, under subsection 890G(1), of a change to the SEGC's operating rules	\$135 <u>\$38 651</u>
17S	On lodging, under subsection 892H(6), a copy of a report and financial statements	\$330 <u>no fee</u>
17T	On lodging an application for a declaration by ASIC under subsection 1073E(1)	\$670 <u>\$17 590</u>
17U	On lodging an application for an exemption or declaration by ASIC under subsection 1075A(1)	\$670 <u>\$17 590</u>
17V	On giving an exemption under subsection 791C(1) in relation to a particular financial market or type of financial market	\$1 340 <u>\$38 651</u>
17W	On giving an exemption under subsection 820C(1) in relation to a particular clearing and settlement facility or type of clearing and settlement facility	\$1 340 <u>\$38 651</u>
18	On lodging, under subsection 633(1), a copy of the bidder's statement, and of the offer document, for an off-market bid	\$2 010 <u>\$5 264</u>
19	On lodging, under paragraph 650D(1)(b), a notice	\$1 000 <u>\$802</u>
20	On lodging, under section 635, a copy of the bidder's statement for	\$1 000 <u>\$5 130</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	a market bid	
21	On lodging, under paragraph 647(3)(a), a supplementary statement	no fee \$802
<u>21A</u>	<u>On lodging an application, under section 905B, for an Australian derivative trade repository licence</u>	<u>\$154 598</u>
<u>21AB</u>	<u>On lodging an application, under paragraph 905F(2)(b), for imposition, variation or revocation of conditions on an Australian financial services licence</u>	<u>\$38 651</u>
<u>21AC</u>	<u>On lodging an application, under section 905G, for the variation of an Australian derivative trade repository licence to take account of a change in the licensee's name</u>	<u>\$1 549</u>
<u>21AD</u>	<u>On lodging an application, under paragraph 905H(c), to suspend or cancel an Australian derivative trade repository licence</u>	<u>\$12 886</u>
<i>Financial benchmarks</i>		
<u>21B</u>	<u>On lodging an application, under section 908BD, for a benchmark administrator licence</u>	<u>\$154 596</u>
<u>21BA</u>	<u>On lodging an application, under paragraph 908BG(2)(b), for imposition, variation or revocation of conditions on a benchmark administrator licence</u>	<u>\$38 651</u>
<u>21BB</u>	<u>On lodging an application, under paragraph 908BI(1)(c), to suspend or cancel a benchmark administrator licence</u>	<u>\$12 886</u>
<u>21BC</u>	<u>On lodging an application, under paragraph 908BH(a), for the variation of a benchmark administrator licence to take account of a change in the licensee's name</u>	<u>\$1 549</u>
<u>21BD</u>	<u>On lodging an application, under paragraph 908BH(b), for the variation of a benchmark administrator licence to take account of a change in the financial benchmark specified in the licence</u>	<u>\$38 651</u>
<u>21BE</u>	<u>On lodging an application, under paragraph 908BH(c), for the variation of a benchmark administrator licence to specify one or more further financial benchmarks</u>	<u>\$38 651</u>
<u>21BF</u>	<u>On lodging an application for ASIC to exempt under subsection 908EB(2) a person or class of persons or exempt a financial benchmark or class of financial benchmarks</u>	<u>\$38 651</u>
<u>21BG</u>	<u>On lodging an application for ASIC to vary or revoke a declaration made by ASIC under subsection 908AC(2)</u>	<u>\$38 651</u>
<i>Other applications</i>		
<u>22</u>	On lodging an application: (a) for the consent of the Minister under subsection 147(2) or 601DC(2) to the use of a name by a corporation or proposed corporation; or (b) under section 601QA, 283GA, 655A, 669, 673 or 741	\$1 000
<u>22</u>	<u>On lodging an application for the consent of the Minister under subsection 147(2) or 601DC(2) to the use of a name by a corporation or proposed corporation</u>	<u>\$1 194</u>
23	On lodging an application: (a) for ASIC to exercise a power under section 601AE or 601AF; or	\$540 <u>\$646</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	(b) under Chapter 6, 6A, 6B or 6C for which a fee is not provided by any other item	
24	On application: (a) under section 111AT, paragraph 157(1)(b) or section 340; or (b) for the exercise by ASIC of its power under subsection 150(1)	\$330
<u>24</u>	<u>On application: (a) under paragraph 157(1)(b); or (b) for the exercise by ASIC of its power under subsection 150(1)</u>	<u>\$395</u>
25	On application: (a) under subsection 163(1); or (b) under Chapter 2L, 5C or 6D for which a fee is not provided by any other item	\$65 <u>\$78</u>
26	On lodging an application for the reservation of a name or for the extension of a reservation of a name	\$40
<u>26</u>	<u>On lodging an application for the reservation of a name under subsection 152(1) or for the extension of a reservation of a name under subsection 152(2)</u>	<u>\$48</u>
27	On lodging an application for which a fee is not provided by any other item, <u>except where <i>no fee</i> appears in column 3 of an item in relation to the application</u>	\$33 <u>\$39</u>
27A	On application by a financial services licensee to ASIC for ASIC's consent to remove an auditor of the licensee from office under paragraph 990F(b)	no fee
27B	On application for a declaration under subsection 765A(2) that a specified facility, interest or other thing is not a financial product for the purposes of Chapter 7	\$400
27C	On application under subparagraph 911A(2)(h)(ii) or paragraph 7.9.08(1)(a) of the <i>Corporations Regulations 2001</i> for approval of an overseas or foreign regulatory body	no fee
27D	On application for exemption or a declaration under sections 951B, 992B, 1020F, 1075A-1437, 1442 or 1445	\$270
27E	On application for a declaration under paragraph 1071H(5)(d) that a company is not required to comply with section 1071H	\$270 <u>\$323</u>
27F	On application under subregulation 7.8.02(6C) of the <i>Corporations Regulations 2001</i> that the minimum balance of moneys held in an account to which section 981B relates may be reduced below the amount required by subregulation 7.8.02(6) of those Regulations	\$270
27G	On application under paragraph 7.8.16(4)(b) of the <i>Corporations Regulations 2001</i> that a person is not an officer of a body corporate for the purposes of subregulations 7.8.16(1) and (2) of those Regulations	\$270
27H	On application under subparagraph 7.9.32(2)(a)(ii) of, or Part 16 of Schedule 10A to, the <i>Corporations Regulations 2001</i> for an extension of fund reporting periods	\$270

Column 1 Item	Column 2 Matter	Column 3 Fee
<u>27I</u>	<u>On making an application to the Panel under the Corporations Act</u>	<u>\$2 040</u>
<u>27HA</u>	<u>On making an application to the Panel under the Corporations Act</u>	<u>\$2 400</u>
<u>27J</u>	<u>On application, under subsection 601HH(1) or (2), for ASIC's consent to the removal or resignation of an auditor of a compliance plan</u>	<u>\$791</u>
<u>27JA</u>	<u>On application, under subsection 601VBA(1), for approval to have voting power of more than 15% in a particular licensed trustee company</u>	<u>\$11 550</u>
<u>27JB</u>	<u>On application, under subsection 601VBC(2), to extend the period for an approval granted under section 601VBB</u>	<u>\$5 775</u>
<u>27JC</u>	<u>On application, under subsection 601VBE(1), to vary the percentage specified in an approval granted under section 601VBB</u>	<u>\$5 775</u>
<u>27JD</u>	<u>On application, under paragraph 601WBA(1)(b), for ASIC to make a voluntary transfer determination</u>	<u>\$5 775</u>
<u>27JE</u>	<u>On application, under paragraph 494(3)(b), for an extension of time to lodge a declaration of solvency</u>	<u>\$156</u>
<u>27JF</u>	<u>On application, under paragraph 494(3)(c), for an extension of time to pass a resolution for a voluntary winding up</u>	<u>\$156</u>
<u>27JG</u>	<u>On application, under paragraph 532(6)(b), for ASIC to direct that paragraph 532(6)(b) does not apply to a person</u>	<u>\$156</u>
<u>27JH</u>	<u>On application for ASIC to make a direction under subsection 545(2)</u>	<u>\$156</u>
<u>27JI</u>	<u>On application for ASIC to approve, under section 283GB, a body corporate to be a trustee for the purposes of paragraph 283AC(1)(f)</u>	<u>\$16 030</u>
<u>27JJ</u>	<u>On application for ASIC to give a direction under paragraph 448C(3)(b)</u>	<u>\$156</u>
<u>27JK</u>	<u>On application, Under paragraph 912B(2)(b), for ASIC to approve arrangements</u>	<u>\$1 540</u>
<u>27JKA</u>	<u>On application, under subsection 90-23(2) of Schedule 2, for ASIC to appoint a registered liquidator to carry out a review into a matter that relates to the external administration of a company</u>	<u>\$156</u>
<u>27JL</u>	<u>On application for the registration by ASIC of a disclosure statement under clause 32 of Schedule 4 to the Act</u>	<u>\$2 695</u>
<u>27JM</u>	<u>On application for exemption or a declaration under section 111AT, 259C, 283GA, 341, 601QA, 655A, 669, 673, 741, subsection 765A(2), section 907D, 926A, 951B, 992B, 1020F, 1075A, 1437, 1442 or 1445, or subclause 30(1) or (2) of Schedule 4</u>	<u>\$3 487</u>
<u>27JN</u>	<u>On application, under subsection 30-1(4) of Schedule 2, for ASIC to extend or further extend the period for a registered liquidator to lodge a return under subsection 30-1(1) of that Schedule</u>	<u>\$156</u>
<u>27JO</u>	<u>On application for ASIC to allow a further period for a liquidator to deal with proofs as mentioned in paragraph 5.6.53(1)(b) of the Corporations Regulations 2001</u>	<u>\$156</u>
<u>27JP</u>	<u>On application for ASIC to allow a further period for a liquidator to deal with a formal proof of debt or claim as mentioned in paragraph 5.6.66(1)(b) of the Corporations Regulations 2001</u>	<u>\$2 695</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
<u>27JQ</u>	<u>On application for ASIC to approve a guarantee mentioned in sub-paragraph 7.6.02AAA(3)(b)(ii)(B) of the Corporations Regulations 2001</u>	<u>\$1 540</u>
<u>27JR</u>	<u>On application, under subsection 601AA(1), to deregister a company</u>	<u>\$39</u>
<u>27JS</u>	<u>On application, under subsection 601PA(1), to deregister a registered scheme</u>	<u>no fee</u>
<u>27JT</u>	<u>On application, under subsection 989D(3), for ASIC to approve an extension of the period for lodging a profit and loss statement and balance sheet</u>	<u>no fee</u>
<u>27JU</u>	<u>On lodging a notice with ASIC mentioned in subsection 990B(6) relating to the appointment of an auditor of an Australian financial services licensee</u>	<u>no fee</u>
<u>27JV</u>	<u>On lodging, under subsection 1287(1), particulars of an event mentioned in that subsection</u>	<u>no fee</u>
<u>27JW</u>	<u>On lodging a notice under subsection 1299F(3)</u>	<u>no fee</u>
<u>27JX</u>	<u>On lodging an application under section 324BD to appoint an individual who is not a registered company auditor, as auditor of a proprietary company</u>	<u>no fee</u>
<u>27JY</u>	<u>On lodging an application to extend the period of time to provide notice of the hearing of an application under paragraph 411(2)(a).</u>	<u>no fee</u>
<i>Late lodgement</i>		
28	On the late lodgement of a document (in addition to any fee provided by any other item for the lodgement of that document):	
	(a) if lodged within one month after the prescribed time	<u>\$65\$78</u>
	(b) if lodged more than one month after the prescribed time	<u>\$270\$323</u>
<i>Supply of information and documents</i>		
29	For a document issued or displayed by ASIC containing information relating to a single corporation or registered scheme, if the information is retrieved using a computer system:	
	(a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription:	
	(i) if the document contains only current information	<u>no fee</u>
	(ii) if the document contains both current and non-current information	<u>\$17</u>
	(b) in any other case:	
	(i) if the document contains only current information	<u>\$9</u>
	(ii) if the document contains both current and non-current information	<u>\$17</u>
30	For inspecting, or an enquiry involving the inspection of,	

Column 1 Item	Column 2 Matter	Column 3 Fee
	documents that are lodged by or in relation to a particular corporation or registered scheme: (a) where the documents are reproduced using a computer system: (i) for less than 10 pages (ii) for 10 pages or more (b) in any other case	\$17 \$33 \$39 \$17
30AB	For inspecting, or an enquiry involving the inspection of, a quarterly report lodged with ASIC under section 283BF	no fee
30A	For an electronic transmission notifying a change in the information relating to an entity, in addition to any fee payable under item 29 or paragraph 30(a)	\$9
31	For inspecting, or an enquiry involving an inspection of, the Register of Licence Holders, the Register of Futures Licensees, the Register of Auditors, the Register of Liquidators: (a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription (b) in any other case	no fee \$9
31A	For inspecting, or an inquiry involving an inspection or search (other than a search of information prescribed, on a register prescribed, for the purposes of subsections 1274A(2), (3) and (4) of the Corporations Act) of: (a) the register in respect of financial services licensees mentioned in subsection 922A(2) of the Corporations Act; or (b) the register in respect of authorised representatives of financial services licensees mentioned in subsection 922A(2) of that Act; or (c) the register in respect of persons against whom a banning order or disqualification order is made mentioned in subsection 922A(2) of that Act; or (d) the Register of Relevant providers maintained under section 922Q of the Corporations Act; by or on behalf of: (e) the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription; or (f) any other person	no fee \$12
32	For inspecting, or an enquiry involving the inspection of, the Australian Register of Company Charges and documents lodged by a particular corporation and used by ASIC to compile or maintain that Register, where documents are reproduced using a computer system:	

Column 1 Item	Column 2 Matter	Column 3 Fee
	(a) for less than 10 pages	\$17
	(b) for 10 pages or more	\$33 \$39
33	For the issue of a document relating to recorded entries about a corporation or a registered scheme, or a director or secretary of a company, on all registers maintained using a computer system:	
	(a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription	no fee
	(b) in any other case	\$33 \$39
34	For a certificate issued by ASIC, except a certificate issued under:	\$17 \$19
	(a) paragraph 118(1)(c), section 160, subsection 164(6), subsection 165(5), paragraph 601BD(1)(c) or subsection 601CU(1) or 1280(5), or subsection 20-30(4) of Schedule 2 <u>or subsection 20-75(4) of Schedule 2</u> ; or	
	(b) regulation 2A.2.04 of the Corporations Regulations	
35	For supplying a certified copy of, or a certified copy of an extract from, a document filed or lodged with ASIC, in addition to the fee payable under item 30, 31 or 32	\$17 \$19
36	For the production by ASIC, pursuant to a subpoena, of a document in its custody	\$33 \$39
37	Where the inspection of a register or the issue or display of a document occurs at a Business Centre of ASIC, in addition to the fee payable under item 29, 30, 31, 32 or 33	\$3
Other matters		
39	On lodging any document under Chapter 2L, 5C, 6D and 7 if no fee is prescribed in relation to the document in any other item, except where <i>no fee</i> appears in column 3 of an item in relation to the document	\$33 \$39
40	For any act that ASIC is required or authorised to do on the request of a person, if no fee is prescribed in relation to the act, except:	\$33 \$39
	(a) an act under section 601AE or 601AF; or	
	(b) where <i>no fee</i> appears in column 3 of an item in relation to the act	
41	For the preparation by ASIC of the statement referred to in paragraph 411(17)(b)	\$135
42	On submitting a proposed statement under subsection 412 (1) to ASIC for examination	\$670 \$321
42A	On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by section 412 or subsection 465A(1)	\$145
42A	On electronically lodging a notice with ASIC for publication on	\$321

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>ASIC's publication website, as required by section 412</u>	
<u>42B</u>	<u>On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by subsection 465A(1)</u>	<u>\$145</u>
42C	On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by section 491	\$64
43	On lodging, by an disclosing entity, a document referred to in subsection 675(2) relating to price sensitive information	no fee
44	Lodgment with ASIC of a Product Disclosure Statement mentioned in section 1015B (other than a supplementary Product Disclosure Statement)	\$2 010 <u>\$1 993</u>
44A	On lodging a notice with ASIC about the occurrence of an event mentioned in paragraph 1015D(2)(b) or (c)	no fee
45	On giving notice by an auditor of a financial services licensee to ASIC under paragraph 990G(1)(a)	no fee
46	On lodging any document under subsection 912C(1), 912D(1), 912D(2) or 912E(2), or paragraph 913B(1)(ca),	no fee
46AA	On lodging a notice under subsection 922HA(1)	\$250
<u>46AB</u>	<u>On lodging a proposed notice of meeting under subsection 218(1)</u>	<u>\$802</u>
<u>46AC</u>	<u>On lodging a notice with ASIC mentioned in paragraph 411(2)(a) relating to the hearing of an application under subsection 411(1) or (1A)</u>	<u>\$5 290</u>
<u>46AD</u>	<u>On lodging a statement with ASIC for registration as mentioned in subsection 412(6)</u>	<u>\$321</u>
<u>46ADA</u>	<u>On lodging a notice with ASIC under subsection 601FL(2) or 601FM(2) relating to a change of responsible entity of a registered scheme</u>	<u>\$1 187</u>
<u>46AE</u>	<u>On lodging a notice with ASIC, under subsection 601FQ(4) informing ASIC of a Court's order appointing a temporary responsible entity of a registered scheme</u>	<u>\$1 028</u>
<u>46AG</u>	<u>On lodging a notice with ASIC, under paragraph 661B(1)(b) relating to a compulsory acquisition following a takeover</u>	<u>\$802</u>
<u>46AH</u>	<u>On lodging with ASIC, under paragraph 662B(1)(b), relating to a right to buy out to holders of convertible securities following a takeover bid</u>	<u>\$641</u>
<u>46AI</u>	<u>On lodging with ASIC, under paragraph 663B(1)(b), relating to a right to buy out to holders of convertible securities following a takeover bid</u>	<u>\$160</u>
<u>46AJ</u>	<u>On lodging a notice with ASIC under paragraph 664C(2)(a) relating to a compulsory acquisition of securities</u>	<u>\$802</u>
<u>46AK</u>	<u>On lodging a copy of an objection form with ASIC under subsection 664E(2) relating to an objection to the compulsory acquisition of securities covered by a compulsory acquisition notice</u>	<u>\$802</u>
<u>46AL</u>	<u>On lodging a list with ASIC under subsection 664E(3) relating to members objecting to a compulsory acquisition</u>	<u>\$160</u>
<u>46AM</u>	<u>On lodging a notice with ASIC under paragraph 665B(1)(b) relating to a right of buy outs to holders of convertible securities by</u>	<u>\$160</u>

Column 1 Item	Column 2 Matter	Column 3 Fee
	<u>a 100% holder</u>	
<u>46AN</u>	<u>On lodging with ASIC, under subsection 1200C(5), a notice and documents and information required to be lodged under section 1200D</u>	<u>\$321</u>
<u>46AO</u>	<u>On lodging a document, statement or notice with ASIC under subsection 1200G(9)</u>	<u>\$160</u>
	<u>Other matters with no fee</u>	
<u>46AP</u>	<u>On lodging a deed in relation to an application with ASIC for an exemption or modification under section 340</u>	<u>no fee</u>
<u>46AQ</u>	<u>On application, under paragraph 331AC(1)(b), for ASIC to appoint an auditor of a registered scheme</u>	<u>no fee</u>
<u>46AR</u>	<u>On lodging a notice, under regulation 5C.1.02 of the <i>Corporations Regulations 2001</i> to change the name of a registered scheme</u>	<u>no fee</u>
<u>46AS</u>	<u>On lodging a notice, under regulation 5C.9.01 of the <i>Corporations Regulations 2001</i>, telling ASIC that winding up of a scheme has commenced or been completed</u>	<u>no fee</u>
<u>46AT</u>	<u>On lodging an auditor's report with ASIC under subsection 601HG(7)</u>	<u>no fee</u>
<u>46AU</u>	<u>On lodging with ASIC, under subsection 601KB(5), a copy of a withdrawal offer</u>	<u>no fee</u>
<u>46AV</u>	<u>On lodging with ASIC, under subregulation 7.5.90(4) of the <i>Corporations Regulations 2001</i> a completed Form 719</u>	<u>no fee</u>
	<i>Legislative streamlining</i>	
46A	On lodging a Replacement Product Disclosure Statement with ASIC under Subdivision E of Division 2 of Part 7.9	no fee
47	On application under section 913A for an Australian financial services licence (if section 1433 applies): (a) using the ASIC eLicensing service: (i) by a body corporate, partnership or non-corporate trustee (ii) by a natural person (b) in any other form: (i) by a body corporate, partnership or non-corporate trustee (ii) by a natural person	\$230 \$135 \$400 \$230
	Note:— See also item 1.	
48	On application under paragraph 914A(2)(b) for the variation of conditions on an Australian financial services licence (if regulation 10.2.37 of the <i>Corporations Regulations 2001</i> applies)	no fee
	Note:— See also item 1A.	
	<i>Special licences for insurance multi-agents during first 2 years after FSR commencement</i>	
49	On application under section 913A for a qualified Australian financial services licence (if section 1434 applies):	

Column 1 Item	Column 2 Matter	Column 3 Fee
	(a) using the ASIC eLicensing service	\$150
	(b) in any other form	\$230
50	On application under section 913A for an Australian financial services licence (if the person is the holder of a qualified Australian financial services licence):	
	(a) using the ASIC eLicensing service	\$135
	(b) in any other form	\$150
<i>Authorised audit companies</i>		
51	On lodging an application for registration as an authorised audit company under section 1299A:	<u>\$3,429</u>
	(a) in electronic form	\$270
	(b) in any other form	\$540
52	On lodging an annual statement under section 1299G:	<u>no fee</u>
	(a) in electronic form	\$135
	(b) in any other form	\$270