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# Amended Fee Schedule in the *National Consumer Credit Protection (Fees) Regulations 2010*

The amendments will be made to the fees schedules in the Regulations. Below is the marked up version of the amended table.

## Schedule 1 Fees for chargeable matters

### ~~Part 1~~ Fees worked out using method

~~Table 1~~

<del>Item</del>	<del>Chargeable matter</del>	<del>Method</del>
<del>1.1</del>	<del>Lodgment of: —(a) an application to be licensed under subsection 36 (1) of the National Credit Act; or —(b) an annual compliance certificate under subsection 53 (1) of the National Credit Act</del>	<del>The fee is the amount in Table 2 that is specified for the sum of: —(a) the total amount of credit advanced by the applicant or the licensee in the preceding financial year; and —(b) the total amount of credit, or the total amount of rent payable by consumers under consumer leases, assigned to the applicant or the licensee in the preceding financial year; and —(c) the total value of credit in applications submitted by the applicant or the licensee to: —(i) credit providers; and —(ii) credit intermediaries;</del>

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Item	Chargeable matter	Method
		<p><del>— in the preceding financial year which have resulted in the provision of credit; and</del></p> <p><del>— (d) the total amount of rent payable by consumers under consumer leases entered into by the applicant or the licensee in the preceding financial year; and</del></p> <p><del>— (e) the total amount of rent payable by consumers under consumer leases submitted by the applicant or the licensee to lessors in the preceding financial year which have resulted in a consumer lease being made</del></p>

**Table 2**

<b>Item</b>	<b>If the sum is ...</b>	<b>the fee is ...</b>
<i>-For a sole trader-</i>		
1A.1	no more than \$99 999 999	\$450
1A.2	more than \$99 999 999 but no more than \$199 999 999	\$1 000
1A.2A	more than \$199 999 999	the fee that would apply to the sum if any of items 1A.4 to 1A.9 applied
<i>For an applicant other than a sole trader</i>		
1A.3	no more than \$199 999 999	\$1 000
1A.4	more than \$199 999 999 but no more than \$599 999 999	\$4 000
1A.5	more than \$599 999 999 but no more than \$999 999 999	\$8 000
1A.6	more than \$999 999 999 but no more than \$1 399 999 999	\$12 000
1A.7	more than \$1 399 999 999 but no more than \$1 799 999 999	\$16 000
1A.8	more than \$1 799 999 999 but no more than \$2 099 999 999	\$20 000
1A.9	more than \$2 099 999 999	\$21 000

## Part 2 Specified fees

<b>Item</b>	<b>Chargeable matter</b>	<b>Fee</b>
<i>Chargeable matters under the National Consumer Credit Protection Act 2009</i>		
<u>2.0</u>	<u>Lodgment of an application to be licensed under subsection 36(1) of the National Credit Act:</u>	
	<u>(a) by an individual seeking to be authorised to engage in credit activities other than as a credit provider or lessor</u>	<u>\$1 798</u>
	<u>(b) by an individual seeking to be authorised to engage in credit activities as a credit provider or lessor</u>	<u>\$3 468</u>

Item	Chargeable matter	Fee
	<u>(c) by a person other than an individual seeking to be authorised to engage in credit activities other than as a credit provider or lessor</u>	<u>\$2 055</u>
	<u>(d) by a person other than an individual seeking to be authorised to engage in credit activities as a credit provider or lessor</u>	<u>\$4 624</u>
<u>2.0A</u>	<u>Lodgment of an annual compliance certificate under subsection 53(1) of the National Credit Act</u>	<u>no fee</u>
2.1	Lodgment of information or an audit report under subsection 37 (4) of the National Credit Act	no fee
2.2	Lodgment of a statement under subsection 49 (1) of the National Credit Act	no fee
<del>2.3</del>	<del>Lodgment of an application to have conditions imposed, varied or revoked under paragraph 45 (2) (b) of the National Credit Act</del>	<del>\$100</del>
<u>2.3</u>	<u>Lodgment of an application to have conditions imposed, varied or revoked under paragraph 45(2)(b) of the National Credit Act</u>	
	<u>(a) by an individual licensed to engage in credit activities other than as a credit provider or lessor</u>	<u>\$1 156</u>
	<u>(b) by an individual licensed to engage in credit activities as a credit provider or lessor</u>	<u>\$2 183</u>
	<u>(c) by a person other than an individual licensed to engage in credit activities other than as a credit provider or lessor</u>	<u>\$1 284</u>
	<u>(d) by a person other than an individual licensed to engage in credit activities as a credit provider or lessor</u>	<u>\$2 826</u>
<u>2.4</u>	<u>Lodgment of an application for ASIC to approve arrangements under paragraph 48(2)(b) of the National Credit Act</u>	<u>\$1 540</u>
2.6	Lodgment under paragraph 54 (1) (a) of the National Credit Act	no fee
2.7	Lodgment of a notice of an authorisation, or a notice of a change to, or revocation of, an authorisation, under section 71 of the National Credit Act	no fee
2.8	Lodgment of an application for the variation or cancellation of a banning order under paragraph 83 (2) (b) of the National Credit Act	<u>\$100</u> <u>\$115</u>

Item	Chargeable matter	Fee
2.9	Lodgment of a trust account statement and trust account audit report under subsections 100 (1) and (2) of the National Credit Act	<del>\$100</del> <u>no fee</u>
2.9A	Lodgment of a report under section 104 of the National Credit Act	no fee
2.10	Lodgment of an application for relief under section 109 of the National Credit Act	<del>\$100</del> <u>\$3 487</u>
2.11	Lodgment of an application for relief under section 163 of the National Credit Act	<del>\$100</del> <u>\$3 478</u>
2.12	Application for an extension of time under subsection 37 (5), 49 (5), <del>53 (1), 101 (3)</del> , 220 (2) or 265 (5) of the National Credit Act	no fee
<u>2.12A</u>	<u>Application for an extension of time under subsection 53(1) or 101(3) of the National Credit Act</u>	<u>\$1 115</u>
<i>Chargeable matters under Schedule 1 to the National Credit Act (the National Credit Code)</i>		
2.13	Lodgment of an application for relief under subsection 6 (14) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>
2.14	Lodgment of an application for relief under subsection 6 (17) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>
2.14A	Lodgment of an application for relief under subsection 171 (4) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>
2.14B	Lodgment of an application for relief under subsection 171 (6) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>
2.14C	Lodgment of an application for relief under subsection 203A (1) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>
2.14D	Lodgment of an application for relief under subsection 203A (3) of Schedule 1 to the National Credit Act (the National Credit Code)	<del>\$100</del> <u>\$3 487</u>

Item	Chargeable matter	Fee
<i>Chargeable matters under the National Consumer Credit Protection (Transitional and Consequential Provisions) Act 2009</i>		
2.15	Lodgment of an application to be registered under subitem 11 (1) of Schedule 2 to the Transitional Act	no fee
2.16	Lodgment of an application to have conditions imposed, varied or revoked under paragraph 14 (2) (b) of Schedule 2 to the Transitional Act	<del>\$100</del> <u>\$115</u>
2.17	Lodgment of a statement under subitem 17 (1) of Schedule 2 to the Transitional Act	no fee
2.18	Lodgment of information under subitem 18 (1) of Schedule 2 to the Transitional Act	no fee
2.19	Lodgment of an application to suspend or cancel registration under paragraph 23 (1) (a) of Schedule 2 to the Transitional Act	no fee
2.20	Lodgment of an application for relief under item 41 of Schedule 2 to the Transitional Act	<del>\$100</del> <u>\$3 487</u>
<i>Chargeable matters under the National Consumer Credit Protection Regulations 2010</i>		
2.21	Lodgment of particulars of a change under subregulation 9 (2) of the National Credit Regulations	no fee
2.22	Lodgment of particulars of a change under subregulation 9 (3) of the National Credit Regulations	no fee
2.23	Lodgment of particulars of a change in control of a licensee under subregulation 9 (10) of the National Credit Regulations	no fee
2.23A	Lodgment of information under a provision of: (a) the National Credit Regulations; or (b) the National Credit Act as modified by a provision of the National Credit Regulations; that relates to an unlicensed carried over lender	no fee
2.23AA	Lodgment of notification of appointment under subregulation 19 (3) of the National Credit Regulations	no fee
2.23AB	Lodgment of notification of appointment under subregulation 19 (5) of the National Credit Regulations	no fee
2.23B	Notification of an address under paragraph 29 (6) (a) of the National Credit Regulations	no fee

Item	Chargeable matter	Fee
2.23C	Notification of a change of address under paragraph 29 (6) (b) of the National Credit Regulations	no fee
2.23D	Notification of an address under paragraph 30A (3) (a) of the National Credit Regulations	no fee
2.23E	Notification of a change of address under paragraph 30A (3) (b) of the National Credit Regulations	no fee
2.23F	Lodgment of information under a provision of: (a) the National Credit Regulations; or (b) the National Credit Act as modified by a provision of the National Credit Regulations; that relates to a special purpose funding entity	no fee
<i>Chargeable matters under the National Consumer Credit Protection (Transitional and Consequential Provisions) Regulations 2010</i>		
<del>2.23H</del>	<del>Lodgment of particulars of a change under subregulation 10 (2) of the Transitional Regulations</del>	<del>no fee</del>
<del>2.23I</del>	<del>Lodgment of particulars of a change under subregulation 10 (3) of the Transitional Regulations</del>	<del>no fee</del>
<del>2.23J</del>	<del>Lodgment of particulars of a change in control under subregulation 10 (10) of the Transitional Regulations</del>	<del>no fee</del>
<del>2.23K</del>	<del>Lodgment or provision of information under a provision of the Transitional Regulations that relates to an unlicensed carried over instrument lender</del>	<del>no fee</del>
<del>2.23L</del>	<del>Lodgment of information under a provision of the Transitional Regulations that relates to a special purpose funding entity</del>	<del>no fee</del>
<i>Other chargeable matters</i>		
2.24	Production by ASIC, under a subpoena, of: (a) a register mentioned in the definition of <b>chargeable matter</b> in subsection 4 (1) of the Act; or (b) a document mentioned in that definition	<b>\$100</b> <b><u>\$115</u></b>
2.25	Lodgment of a document, notice or application under the National Credit Act or Transitional Act that is not mentioned in the other items in this Part or in Schedule 2	<b>\$100</b> <b><u>\$115</u></b>

## Part 3 Alteration of fees in Part 1 or 2

Item	Circumstance	Altered fee
3.1	<p>The person is:</p> <ul style="list-style-type: none"> <li><del>—(a) lodging an application to be licensed under subsection 36 (1) of the National Credit Act; and</del></li> <li><del>—(b) an ADI mentioned in section 38 of the National Credit Act</del></li> </ul>	<p><del>The fee worked out in accordance with Part 1 is decreased by 10%</del></p>
3.2	<p>The person is:</p> <ul style="list-style-type: none"> <li><del>—(a) lodging an application to be licensed under subsection 36 (1) of the National Credit Act; and</del></li> <li><del>—(b) using a streamlined process under section 39 of that Act; and</del></li> <li><del>—(c) not an ADI mentioned in section 38 of the National Credit Act</del></li> </ul>	<p><del>The fee worked out in accordance with Part 1 and altered under this Part (if necessary) is decreased by 10%</del></p>
3.3	<p>The chargeable matter is the lodgment of a document otherwise than electronically</p>	<p><del>The fee worked out in accordance with Part 1 and altered under this Part (if necessary) is increased by 25%</del></p> <p>The fee specified in Part 2 and altered under this Part (if necessary) is increased by \$25</p>
3.4	<p>The chargeable matter is the lodgment of a document, and:</p> <ul style="list-style-type: none"> <li>(a) the document must be lodged within a particular period; and</li> <li>(b) the document is not lodged within the period; and</li> <li>(c) the document is lodged within 1 calendar month after the specified period</li> </ul>	<p>The fee <del>worked out in accordance with Part 1, or</del> specified in Part 2, and altered under this Part (if necessary) is increased by \$67</p>



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Item	Circumstance	Altered fee
3.5	The chargeable matter is the lodgment of a document, and: (a) the document must be lodged within a particular period; and (b) the document is not lodged within the period; and (c) the document is lodged 1 calendar month or more after the specified period	The fee <del>worked out in accordance with Part 1, or</del> specified in Part 2, and altered under this Part (if necessary) is increased by \$278
3.6	If more than 1 item in this Part applies to the person, the items are to be applied in the order of item <del>3.1, 3.2,</del> 3.3, 3.4 and 3.5	

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## Schedule 2 Fees for other chargeable matters

(subregulation 5 (1))

Item	Matter	Fee
1	The making available by ASIC, under subsection 214 (1) of the National Credit Act, of an extract of particulars in relation to: (a) a licensee; or (b) a credit representative; or (c) a registered person; or (d) a person or entity whose details are included in any other register that ASIC is required to keep under the National Credit Act or the Transitional Act  If the extract includes particulars in relation to more than 1 person or entity, a separate fee is applicable for each person or entity	\$10
2	Inspection of a document register, or a document, under section 219 of the National Credit Act	\$25
3	Inspection of a document: (a) which has been lodged with ASIC; and (b) which will be included in a document register under section 219 of the National Credit Act; and (c) in relation to which ASIC has permitted the document register to be inspected	\$25

*Note* Under subsection 219 (4) of the National Credit Act, ASIC is not required to permit a person to inspect a document register, or to make any part of a document register available to the public.